

Attorney Docket No: IMM110A (IMMR-013/01US)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

In re application of Bruce M. Schena

Serial No.: 09/866,385

Examiner: Unassigned

Confirmation No: 4433

Art Unit: 2173

Filed: May 24, 2001

For: HAPTIC DEVICES USING ELECTROACTIVE POLYMER

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Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.

☐ Enclosed is an English translation of non-English publication(s) ___ cited in the attached Form PTO/SB/08A.

☐ Enclosed are copies of pending patent application serial nos.

This Information Disclosure Statement is filed within any one of the following time periods:

☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);

☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;

☒ before the mailing date of a first office action on the merits; or

☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: October 15, 2002

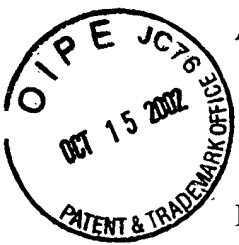
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Attorney Docket No: IMM110A (IMMR-013/01US)

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TRANSMITTAL OF DOCUMENTS

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Enclosed are the following documents:

- [x] An Information Disclosure Statement and accompanying Form PTO/SB/08A and cited references for the above-identified patent application.
- [x] Revocation and New Power by Assignee
- [x] Request for Change of Docket Number
- [x] Return Receipt Postcard

In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required. The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: October 15, 2002

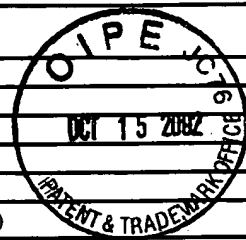
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|--|---|----|---|--------------------------|-------------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 09/866,385 |
| | | | | Filing Date | May 24, 2001 |
| | | | | First Named Inventor | Bruce M. Schena |
| | | | | Group Art Unit | 2173 |
| | | | | Examiner Name | Unassigned |
| Sheet | 1 | of | 3 | Attorney Docket Number | IMM110A (IMMR-013/01US) |



| U.S. PATENT DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | |
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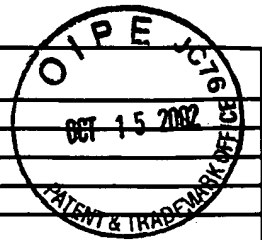
¹ Unique citation designation number.² See attached Kinds of U.S. Patent Documents.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known



| | | | | |
|-------|---|---|------------------------|-------------------------|
| Sheet | 2 | 3 | Application Number | 09/866,385 |
| | | | Filing Date | May 24, 2001 |
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| | | | Group Art Unit | 2173 |
| | | | Examiner Name | Unassigned |
| | | | Attorney Docket Number | IMM110A (IMMR-013/01US) |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Sheet | 3 | of | 3 | Attorney Docket Number | IMM110A (IMMR-013/01US) |

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

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